### Government of West Bengal Education Directorate Bikash Bhavan, Salt Lake Kolkata – 91.

Memo No. ED- 103/2013

Dated, the 18 February 2013

То

All Principals / Teacher-in-Charges of Government aided Colleges including erstwhile sponsored colleges

## **General guidelines** for considering promotion cases of teachers under CAS in Government Aided Colleges **Ref : G.O. No. 920-Edn (CS) dt 31.12.2012 and Gazette Notification No. F.3-1/2009 dated 30.06.2010**

- 1. Re-designation of Reader/ Lecturer (Sel.Gr.) to Associate Professor: College Authority is to forward such cases of re-designation to the Education Directorate with a proposal of fixation of pay in the revised pay band and AGP. Copies of earlier fixations and Governing Body resolutions as per prescribed format are to be enclosed. (Annexure-A)
- 2. Placement/ promotion cases due on or before 29.06.2010 are to be done as per earlier order [G.O. No. 118-Edn (CS) dated 4.2.1999 read with 390(2)- Edn (CS) dated 5.4.1999].
- 3. Meetings of the Screening/ Selection Committees are to be convened by the College Authority as per G.O. No. 920-Edn (CS) dated 31.12.2012.
- 4. Service length requirement for promotion under CAS:
  - a. Assistant Professor Stage 1 to Stage 2:
    - i. Joined with Ph.D. 4 years
    - ii. Joined with M. Phil. or equiv. degree 5 years
    - iii. Without Ph.D./ M. Phil. 6 years
  - b. Assistant Professor Stage 2 to Stage 3:
    - Completed 5 (five) years of service in Stage 2.
  - c. Assistant Professor Stage 3 to Stage 4:
     Completed 3 (three) years of service in Stage 3.
- 5. Counting of Past Service for promotion under CAS: Cases where a teacher wants to count his/her past service towards promotion under CAS, he/she has to move Education Directorate through the College Authority for issuance of a Government Order in that respect as per provisions in the referred G.O.
- 6. On Orientation/ Refresher Courses: Minimum requirement
  - a. For Stage 1 to Stage 2: One O.C. and one R.C.
  - b. For Stage 2 to Stage 3: One R.C. or equiv
  - c. For Stage 3 to Stage 4: One R.C. or equiv
- All concerned are to apply for promotion under CAS in the prescribed proforma (Appendix-II). For Part-B of the proforma, they are requested to see detailed instruction of the PBAS in the Tables at Appendix I. [Data required from 2010-11 (for Part-B , Category –1 & 2), data required for total admissible assessment period for Category -3]
- 8. The upward movement from a particular grade to the next higher grade for all Assistant Professors shall be subject to their satisfying the API based PBAS conditions as laid down in the scheme.

Director of Public Instruction, West Bengal

#### **ANNEXURE-A**

Governing Body Resolution for Re-Designation/Promotion/Placement to the post of Associate Professor of Sri/Smt/Dr.....Dated .....Dated .....

Further observed that she/he has completed 03(Three) years continuous and satisfactory service on ... .....as Reader/Selection Grade Lecturer with reference to his/her placement as Reader/ Selection Grade Lecturer in .....in the Pre-Revised Scale of Rs.12000 – 18300/- following existing UGC Regulations,2000.

Resolved also that the Principal/TIC/Secretary would take up the matter with the D.P.I., West Bengal by providing with copies of the following in respect of the incumbent duly countersigned by the competent College authority:

- (a) All pay fixation memos
- (b) Detailed leave statement (for last 3 years)

(Signature of the President/Administrator)

## <u>APPENDIX II</u>

## Annual Self-Assessment for the Performance Based Appraisal System (PBAS) Session/Year\_\_\_\_\_ (To be completed and submitted at the end of each academic year)

## PART A: GENERAL INFORMATION

1.	Name (Block letters)	:	
2.	Father's /Mother's name/ Husband's name	:	
3.	Department	:	
4.	Current Designation & Grade Pay	:	
5.	Date of last Promotion	:	
6.	Address for correspondence (with pin code)	:	
7.	Permanent Address (with pin code)	:	
	Telephone No.: E-mail:		Mobile No.

- 8. Whether acquired any degrees or fresh academic qualifications during the year:
- 9. Academic Staff College Orientation/ Refresher Course attended during the year:

Name of the Course/Summer School	Place	Duration	Sponsoring Agency

- 10.a. Date of Appointment:b. Date of Confirmation:
- 11. Past Service, if any : (Please attach specific G.O. for counting of past service for

promotion under CAS)

- 12. For which position & AGP you are applying under CAS :
- 13. Date of eligibility for Promotion :

## 14. Educational Qualification (Graduation onwards):

Examination	Name University	of the	Year of passing	Marks obtained (%)	Class/ grade
BA/B.Sc./ B.Com.					
MA/M.Sc./ M.Com.					
Other examination, if any					

## 15. Research Degree(s):

Degree	Name of the University	Date of award	Title
M. Phil.			
Ph. D./D. Phil.			
D.Sc./ D. Lit.			

## 16. Details of Teaching/ Research/Academic Experience:

Designation	Employer	Period of service From To	Scale of pay

I declare that the particulars given above are correct to the best of my knowledge and belief.

# Signature of the Incumbent

All entries made above are checked and verified and found to be correct.

Signature of the Coordinator, IQAC
Date:
Seal:

**Signature of the Principal/TIC** Date: Seal:

## Part B: Academic Performance Indicators (API)

(Please see detailed instruction of the **PBAS-I** proforma before filling out this section)

**CATEGORY 1:** TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES

## (ia) Lectures, seminars, tutorials, practical, contact hours undertaken taken as percentage of lectures allocated.

Sl. No.	Course/Paper	Level	Mode of teaching*	Class allotted as per schedule	% of classes taken as per documented record	API Score

#Lecture (L), Seminar (S), Tutorial(T), Practical(P), Contact Hours(C).

### (ib) Lectures and other teaching duties in excess of UGC norms

	CALCULATION OF API SCORE FOR I(i) ABOVE	API Score
a)	Classes taken (Maximum 50 for 100% performance &	
	proportionate score up to 80% performance, below which no score	
	may be given)	
b)	Teaching load in excess of UGC norms/ Special Lectures/ Classes	
	taken at the study centre located in the District	
	other than Kolkata ( <b>Max score - 10</b> )	

# (ii) Reading/ Instructional material consulted and additional knowledge resources provided to students

Sl. No.	Course/ Paper	Consulted	Prescribed	Additional Resource provided	API Score
knowled enrichme	ore based on prep ge/instruction as per ent by providing additional c <b>ore: 20)</b>	curriculum a	and syllabus		

# (iii) Use of participatory and innovative teaching-learning methodologies; updating of subject content, course improvement etc.

(a)	Updating of Courses/Curriculum Design:	5 per co	ourse – Max 10
(b)	Participation/Innovative – Interactive courses:	5	
(c)	Participn./Innovative – Learning Modules:	5	Max 10
(d)	Participn./Innovative - Case Studies prepared:	5	
(e)	Use of ICT or Computer-aided methods – ppt:	5	
(f)	Use of ICT – Multi-media/Simulation etc:	5	Max 10
(g)	Dev/Teaching Remedial/Bridge Courses:	5 each	Max 10
(h)	Dev/Teaching Soft skill/Communication/Persor	nality Dev	v: 5 each Max 10
(i)	Special Programs in Phys.Edn./Library:	5 each	Max 10

(j) Innovative Composition/Creation in Music, Fine Arts, Perf Arts: 5 each ; Max 10

(k) Organizing & cond. of popul. Prog. /Teaching Web-based/E-Library/IT-asst teaching:

Workshop/Training - 10 points; Popularization program - 5 points

Sl. No.	Short Description (in terms of items) as lis	ted above	API Score
	(Max API Score : 20)	Total Score:	

## (iv) Examination duties Assigned and Performed

- (a) Invigil.- 10, Evalua.- 5, Q. paper setting/ Moderation- 5; Max : 20 [100% compliance]
- (b Internal/Continuous Assessment work as allotted-10 [100% compliance];
- (c) Exam Coordination Cell/Outstation or Flying Squad: 10 [100% compliance]

Sl. No.	Type of Examination Duties	Duties Assigned	Extent to which carried out(%)	API Score
	(Max API Score : 25)			

# CATEGORY 2: CO-CURRICULAR, EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES.

Please mention your contribution to any of the following:

Sl.No.	Type of Activity	Average Hrs/week	API Score						
	(I)Extension, Co-curricular & field based Activities (5 points each)								
	a) Field Study/Ed. Tours/ Placement: 5 each Max 10								
	b) NSS/NCC/NSO etc: each activity 10 points Max 10								
	c) Student/Staff Sports Campus Publication etc: (dept level 2 points, Instn level 5 points) Max 10								
	d) Community work (Env, Hum. Rights, Scientific temper, Peace/Relief etc): Max10								
	(Max API Score : 20)								
	(II) Contribution to Corporate Life and Management of the Institution	Yearly/Semester wise responsibilities	API Score						
	a) Governance Responsibility (PG/IQAC/NAAC coordinator/Bursar / Warden etc.): Max 10								
	<ul> <li>b) Academic/Administrative Committees like</li> <li>Admission /Campus Dev/ Library Comm: 5 each</li> <li>Max 10</li> <li>c) Student Welfare/Placement /</li> </ul>								
	Counseling/ Discipline 5 each Max 10								
	<ul> <li>d) Conf Organization/Chair Secretary/Treasurer</li> <li>(I) International: 10</li> <li>(II) National/Reg: 5</li> <li>(III) Member: 1 each</li> </ul>								
	(Max API Score : 15)								
	(III)Professional Development Activities								
	a) Member in Professional Committees (I)National 3 (II) State 2 ; Max 10								
	b) Participation in Conf/Seminars Without paper: 2 each ; Max 10 c) Short-term Courses/								
	Training less than a week: 5 each Max 10d) Membership/Participation in State/Central Bodies/Committees: 5 each Max 10								
	e) Newspaper/Magazine/ Radio-TV progr (Not covered in Category III): 1 each ; Max 10								
	(Max API Score : 15)								
	Total Score (I + II + III) (Max : 50)								
	Minimum API Score required - 15								

## **CATEGORY-3: RESEARCH AND ACADEMIC CONTRIBUTIONS**

## A) (i) **Published Papers in Journals**

API Score – Category 1- Refereed – 15/publication Category 2- Non-Refereed – 10/publication

Sl. No.	Title page no.	with	Journal	ISSN/ISBN No.	Whether peer reviewed. Impact factor, if	No. of Co-author	API score
					any		

# A)(ii) Public Displays/Exhibitions/Performances with or without outputs in CD/DVD/Online/E-form/E-book [for Art/Music College]

API Score – Category 1 – 15

Category 2 – 10

Sl.No	Title of Show/ Exhibition/ Performance with or without CD/DVD/ Tape/ Online /E-form/E- book versior or Brochures	Agency/ Instt Name (Including TV/Radio etc)	Categorization I. International 15 each/ II. National 10 each (Venues/ event/ gallery/ studio/ museums)	Whether reviewed/ Impact factor (Reporting/ Coverage/ Reviews/ Hits/sales/ citations/ Commen- dations/ etc)	No of Co- performer or Co- authors (10 each)		

## B (i) Articles/ Chapters published in Books

API Score (Per Art/Chap) Category 1: International Publisher – 10

Category 2: National/ State/ Central/ Societies Publisher - 5

Category 3: Subject books by other/ Local Publisher - 3

Category 4: Chapters in Knowledge-based Volumes - 5

## ii) Full papers in Conference Proceedings

Sl. No.	Title with page no.	Book title, editor & publisher	ISSN/ISBN No. (Or, Renowned publishers) See Cat 1-4 as above for scores	Whether peer reviewed.	No. of Co- author	Whether you are the main author	score

Conf. proceedings as full paper: 1 International Conference - 10/publication

2 National - 7.5/each

3 Regional/State level - 5/ each

4 Local- Univ./College level – 3/each

Sl. No.	Title with page no.	Details Conference	of	ISSN/ ISBN	No. of Co-	Whether you are the main author	API score
	pagener	Publication		No.	author		

## iii)Books published as single author or as editor

API Score – Category 1 Text/Reference Books by International Publisher – 50 Category 2 Text/Reference Books by National/Soc/Univ Publ – 25 Category 3 Subject Books by Other/Local Recognized Publ – 15

Sl. No.	Title with page no.	Type of Book, & authorship	Publisher & ISSN/ ISBN No. (I. International II. National III. Regional)	Whether peer reviewed.	No. of Co- author	Whether you are The main author	API score

## (iv) Solo Performance/Exhibition as an Artist on invitation

API Score – Cat. I /International – 50

Cat. II /National – 25

Cat.	III	/Regional	-15
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Sl.No	Title of Programme/ Exhibition with details of venue	Organized or Sponsored by Institution/ Company/ Agency, incl AIR/TV etc	Categorization – (I) International/ (II) National/ (III) Regional	Whether peer- reviewed/ Impact	No of Co- artists or Performers	Whether you are the Solo artist/ performer	API Score

# C) Ongoing and Completed Research Projects and Consultancies

### API Score for Projects-

Cat I: (Sc -Rs 30 lakhs+ Non-Sc: Rs 5 lakhs +):20Cat II: (Sc -Rs 5 lakhs+ Non-Sc: Rs 3 lakhs +):15Cat III: (Sc -Rs 0.5 lakhs+ Non-Sc: Rs 0.25 lakhs+):10Other approved projects of Univ/Other agencies :5

API Score for **Consultancies**– (Sc -Rs 10 lakhs+ Non-Sc: Rs 2 lakhs +): 10

API Score for <b>Quality Evaluation</b> –	
Major (Completed) Project Eval:	20
Minor (Completed) Project Eval:	10

# C.(i) & (ii) Ongoing Projects/ Consultancies

Sl. No.	Title	Agency	Period	Grant/ Amount mobilized (Rs. lakh)	API score

# C.(iii) & (iv) Completed Projects/ Consultancies

Sl.	Title	Agency	Period	Grant/	Whether policy	API score
No.				Amount	document.	
				mobilized	Patent as	
				(Rs. lakh)	outcome	

## D) Research Guidance

 (i) Degree-oriented: API Score – Ph.D. awarded – 10; Ph.D. Submitted – 7; M.Phil. awarded – 3;
 (ii) Non-Degree Products: API Score – Post-Doctoral – 7; National Scholar – 5; Guidance to Scholars & Master's level – 3;

Sl. No.	Number enrolled	Thesis submitted	Degree awarded	API score
Non-Degree products				
M.Phil. or equivalent				
Ph.D. or equivalent				

## E) (i) Training Courses, Teaching-Learning –Evaluation Technology Programmes, Faculty Development Programme (not less than one week duration)

Cat I : (Not less than two week duration): 20 Cat II : (Not less than one week duration): 10

Sl. No.	Programme	Duration	Organized by	API Score

## E)(ii) Papers presented in Conferences, Seminars, Workshops, Symposia

API Score - Category 1 International - 10

- Category 2 National 7.5
  - Category 3 Regional 5
  - Category 4 Local 3

Sl. No.	Title o paper presente	Title of Conference/ Seminar	Organized by	Whether International/ National/State/ Regional/College or University level	API score

# E)(iii) Invited lectures/ Chairing the session or presentation for conferences/symposia etc.

API Score – Category 1 International – 10

Category 2 National – 7.5

Category 3 Regional /State level – 5

Category 4 Univ/Endowment Lect – 3

Sl. No.	Title of Lecture/ Academic session	the	Title of Conference/ Seminar	Organized by	Whether International/ National/State/ Regional/College or University level	API score

# **IV. Summary of API scores**

	Criteria	Last	3/4	/ 5 / 6	ó acai	demic	years	Total- API score for assessment period	Annual Average API Score for Assessment period
I	Teaching, learning Evaluation related Activities								
II	Co-curricular, Extension and Professional Development related Activities								
	Total (I+II)								
III	Research and Contributions								

Signature of the Coordinator IQAC with Seal

Signature of the Principal/ TIC with date and Seal

## Part C: For use of the Screening/ Selection Committee

Name of the College: Date of the Meeting:

Venue:

Name of the Incumbent: Shri/Smt/Dr.

Present designation: Assistant Professor (Stage 1/ Stage 2/ Stage 3) of .....

Date of joining:

Date of award of Ph.D./ M. Phil. :

Scale of Pay: ₹ 15600-39100/- with AGP of ₹ 6000 / 7000 / 8000

Designation after promotion: Assistant Professor (Stage 2 / Stage 3) /Associate Professor (Stage 4) Scale of Pay: ₹ 15600-39100/- or ₹ 37400-67000/- with AGP of ₹ 7000 / 8000 / 9000

Date of effect:

Score for Interview (for Stage 3 to Stage 4) [F.M. 20] :

The Screening/Selection Committee has considered the proposal for promotion under CAS in respect of the incumbent mentioned above from the post of Assistant Professor (Stage 1/ Stage 2/ Stage 3) to the post of Assistant Professor (Stage 2/ Stage 3) / Associate Professor (Stage 4). As the incumbent has fulfilled all conditions as laid down in G.O. No. 920-Edn(CS) dated 31.12.2012 for promotion under CAS, the Committee recommends that the incumbent be promoted to the post of Assistant Professor (Stage 2/ Stage 3) / Associate Professor (Stage 4) with AGP of ₹ 7000 / 8000 / 9000 with effect from .....

The Committee further recommends that the post held by the incumbent be upgraded to the post of Assistant Professor (Stage 2/ Stage 3) /Associate Professor (Stage 4) with AGP of ₹ 7000 / 8000 / 9000 with effect from ...... and the same would be reverted to the post of Assistant Professor (Stage 1) as soon as it would fallen vacant for any reason whatsoever.

Necessary particulars with all relevant documents be sent to the Government for approval.

Signature of the Expert with Date and Seal (Nominated by the VC of the affiliating University) Signature of the Expert with Date and Seal (Nominated by the VC of the affiliating University)

Signature of Govt. Nominee with Date and Seal (*Nominated by the DPI*, *West Bengal*) Signature of the Principal/ TIC of the College with Date and Seal

## Part D: For use of the Governing Body/ Administrator of the College

Resolved further that the Principal / T.I.C/ Secretary would take up the matter with the DPI, West Bengal by providing with the following in respect of the incumbent:

i. All Pay Fixation memos countersigned by the Principal/T.I.C..

ii. Year wise detailed leave statement countersigned by the Principal/T.I.C.

Date:

Signature of the President/ Administrator Seal:

## **APPENDIX –I**

## TABLE 1

### PROPOSED SCORES FOR ACADEMIC PERFORMANCE INDICATORS (APIs) IN RECRUITMENTS AND CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS OF COLLEGE TEACHERS

### **PBAS-I: Applicable for Teachers of the Colleges**

### **CATEGORY 1: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES**

**Brief Explanation:** Based on the teacher's / Academic's self assessment, API scores are proposed for (a) teaching related activities; (b) domain knowledge; (c) participation in examination and evaluation; (d) contribution to innovative teaching, new courses etc. The minimum API score required by teachers from this category is 75. The self assessment score should be based on objectively verifiable criteria wherever possible and will be finalized by the screening/ selection committee.

Universities will be required to detail the activities and in case institutional specificities require, adjust the weighatges, without changing the minimum total API score required under this category.

SL.	Nature of Activity	Maximum
No		Score
1	Lectures, seminars, tutorials, practical, contact hours	50
	undertaken taken as percentage of lectures allocated.	
2	Lectures or other teaching duties in excess of the UGC norms	10
3	Preparation and Imparting of knowledge / instruction as per	20
	curriculum; syllabus enrichment by providing additional	
	resources to students	
4	Use of participatory and innovative teaching-learning	20
	methodologies; updating of subject content, course	
	improvement etc.	
5	Exam duties (Invigilation; question paper setting,	25
	evaluation/assessment of answer scripts) as per allotment.	
	Total Score	125
	Minimum API Score Required	75

## CATEGORY 2: CO-CURRICULAR, EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES.

**Brief Explanation:** Based on the teacher's self- assessment, category 2 APl scores are proposed for co-curricular and extension activities; and Professional development related contributions. The minimum APl required by teachers for eligibility for promotion is 15. A list of items and proposed scores is given below. It will be noticed that all teachers can earn scores from a numbers of items , whereas some activities will be carried out only by one or a few teachers. The list of activities is broad enough for the minimum APl score required (15) in this category to accrue to all teachers. As before, the self-assessment score should be based on objectively verifiable criteria and will be finalized by the screening/selection committee.

The model table below gives groups of activities and API scores. Universities may detail the activities or, in case institutional specificities require, adjust the weightages, without changing the minimum total API score required under this category.

Sl.No	Nature of Activity	Maximum Score
1	Student related co-curricular, extension and field based	20
	activities (such as extension work through NSS/NCC and	
	other channels, cultural activities, subject related events,	
	advisement and counseling)	
2	Contribution to Corporate life and management of the	15
	department Institution through participation in	
	academic and administrative committee and	
	responsibilities.	
3	Professional Development activities (such as	15
	participation in seminars, conferences, short term,	
	training courses, talks, lectures, membership of	
	associations, dissemination and general articles (other	
	than those included in Category 3)	
	Total Score	50
	Minimum API Score Required	15

**Brief Explanation**: Based on the teacher's self- assessment, API scores are proposed for research and academic contribution. The minimum API score required by teachers from this category is different for different levels of promotion. The self-assessment score will be based on verifiable criteria and will be finalized by the screening/ selection committee.

Sl.	APIs	Faculties of Science	Faculties of	Maximum
51.	111 15	I acuities of science	Arts/Humanities/Social	points for
			Science/Commerce/	College
			Management/ Library	teachers
			Science	teachers
3.A	Research papers	Refereed Journal*	Refereed Journal*	15/
	published in	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	· · · · · · · · · · ·	publication*
	•	Non-refereed but	Non-refereed but	10/
		recognized and	recognized and	, publication*
		reputable journals	reputable journals and	-
		and periodicals,	periodicals, media	
		media having	having ISBN/ISSN	
		ISBN/ISSN numbers	numbers	
		Conference	Conference proceedings	10/
		proceedings as full	as full papers etc.	Publication*
		papers etc. (Abstracts	(Abstracts not be	
		not be included)	included)	
3.B	Research	Text or Reference	Text or Reference Books	10/
	publications,	Books published by	published by	Publication*
	books other	International	International	
	than refereed	Publishers with an	Publishers with an	
	articles	established peer	established peer review	
		review system	system	
		Subject Books by	Subject Books by	25/ sole author,
		National level	National level	and 5/ chapter in edited books
		publishers/ State level and Central Govt.	publishers/ State level and Central Govt.	III euiteu Dooks
		publications with	publications with	
		ISBN/ISSN numbers	ISBN/ISSN numbers	
		Subject Books by	Subject Books by other	15/ sole author
		other local publisher		and 3/chapter
		with ISBN/ISSN	ISBN/ISSN number	in edited books
		number	,	
		Chapters contributed	Chapters contributed to	10/ chapter
		to edited knowledge	edited knowledge based	· -
		based volumes	volumes published by	
		published by	International	
		International	Publishers	
		Publishers		
		Chapters in	Chapters in knowledge	5/ chapter
		knowledge based	based volumes by	
		volumes by Indian/	Indian/ National level	
		National level	publishers with	
		publishers with	ISBN/ISSN numbers	
		ISBN/ISSN numbers	and with numbers of	
		and with numbers of	national and	

		national and	international	
		international directories	directories	
3.C	Research Projects	unectories		
3.C	Sponsored Projects carried	a)Major projects amount mobilized with grants above Rs.30lakhs	a)Major projects amount mobilized with grants above Rs.5lakhs Major	20/ project
		b) Major projects amount mobilized with grants above Rs.5lakhs up to Rs.30lakhs	b) Major projects amount mobilized with grants above Rs.3lakhs up to Rs.5lakhs	15/ project
		c)Minor projects amount mobilized with grants above Rs.50000/- up to Rs.5lakhs	c)Minor projects amount mobilized with grants above Rs.25000/- up to Rs.3lakhs	10/ project
3.C	Consultancy projects carried out/ ongoing	Amount mobilized with minimum of Rs.10lakhs	Amount mobilized with minimum of Rs.2lakhs	10/every Rs.10lakhs and Rs.2lakh respectively
3.C	Completed projects: Quality evaluation	Completed project Report (acceptance from funding agency)	Completed project Report (acceptance from funding agency)	20/major project and 10/ minor project
3.C	Project outcome/ outputs	Patent/ Technology transfer/ product/ process	Major policy document of Govt. Bodies at Central and State level	30/ national level or patent/ 50 for international level
3.D	<b>Research Guidance</b>	2		
3.D	M.Phil	Degree awarded only	Degree awarded only	3/ candidate
3.D	Ph.D	Degree awarded Thesis submitted	Degree awarded Thesis submitted	10/ Candidate 7/ Candidate
<b>3.E</b>	Training Courses a	nd Conferences/ Semir	nar/ Workshop papers	·
3.E	a)Refresher courses,	a)not less than two weeks duration	a)not less than two weeks duration	20 each
	Orientation Course, Methodology workshops, Training, teaching-Learning	b)one week duration	b)one week duration	10 each

	evaluation Technology programmes, Soft Skills development programmes, Faculty Development programme			
3.E	Paper in Conferences/ Seminars#/ Workshops*** etc.	Participationandpresentationofresearchpaper(poster or oral) ina)InternationalConferenceb)National Conferencec)Regional/Stateleveld)Local-University/college	Participation and presentation of research paper (poster or oral) in a)International Conference b)National Conference c) Regional/ State level d)Local-University/ college	a)10 each b)7.5 each c)5 each d)3 each
3.E	Invited lectures/ Chairing the session or presentation for conferences/ symposia	a) International b) National	a)International b)National	a)10 each b)5 each

- Wherever relevant to any specific discipline the API score for paper in refereed journal would be augmented as follows:
- i) Indexed journal by 5 points, ii)Papers with impact factor 1 and 2 by 10 points; iii) papers with impact factor between 2 and 5 by 15 points; iv) papers with impact factor between 5 and 10 by 25 points.

\*Points divided by number of authors

\*\*\*If a paper presented in Conference/ Seminar is published in the form of proceedings, the points would accrue for the publication {3.A} and not under presentation {3.E (ii)}. #Papers accepted at National/ International conferences may be reckoned as equivalent to papers presents.

## Note:

# 1. The API for joint publications will have to be calculated in the following manner:

Of the total score for the relevant category of publication by the concerned teacher, the first/ Principal author and the corresponding author/ supervisor/ mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally by all other authors.

# 2. Weightage to be given in case of non-accredited departmental/ in-house journal:

A paper published in an unaccredited Departmental Journal or in other type of inhouse journal will get 50% of weightage allocated for a paper published in an ISSN/ISBN accredited journal.

## 3. Regarding jointly undertaken research projects:

For research projects carried out jointly, the Principal Investigator (PI) will be credited with 60% marks, while the rest 40% will be divided equally among the Co-PIs. Copies of the relevant page/s from the original project application and the final Project Report showing the name(s) of the research investigator(s) have to be enclosed.

## 4. Seminar attendance as listener only:

For the teacher's attending of academic Seminars/ Conferences etc. for the purpose of updating of personal knowledge only (without presenting any paper or poster), no credit will be given if such attendance coincided with working days in the academic institution. But if such attendance in seminar took place during holidays or vacations, one-fourth of the credit meant for presenting a paper or poster would be given. Proper proof (s) must be made available for availing of this credit.

#### TABLE 2

## MINIMUM APIS AS PROVIDED IN APPENDIX-I (Table 1) TO BE APPLIED FOR THE PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME (CAS) IN COLLEGES (UG & PG), AND WEIGHTAGES FOR EXPERT ASSESSMENT

[	-		1 - 1
	Assistant	Assistant	Assistant
	Professor/	Professor/	Professor
	equivalent	equivalent	(Stage 3) to
	cadres: (Stage 1	cadres:	Associate
	to Stage 2)	(Stage 2 to	Professor/
		Stage 3)	equivalent cadres
			(Stage 4)
I. Teaching-learning,	75/Year	75/Year	75/Year
Evaluation Related			
Activities			
(Category 1)			
II. Co-curricular,	15/Year	15/Year	15/Year
Extension			
and Profession related			
activities (Category 2)			
III. Minimum total	100/Year	100/Year	100/Year
average			
annual Score under			
Categories 1 and 2*			
IV. Research and	10/Year	20/Year	30/Year
Academic	(40/assessment	(100/assess	(90/assessment
Contribution	period)	ment	period)
(Category 3)	-	Period)	-
		-	
Expert Assessment	Screening	Screening	Selection
System	Committee	Committee	Committee
V. Percentage	No separate	No separate	20% -
Distribution of	points.	points.	Contribution to
Weightage Points in	Screening	Screening	Research
the Expert Assessment	committee to	committee	60% - Assessment
(Total weightage =	verify API	to verify API	of domain
100. Minimum	scores	scores	knowledge and
required for			teaching practices.
promotion is 50)			20 % - interview
-			performance
100. Minimum required for promotion is 50)			knowledge and teaching practices. 20 % - interview

\* Teachers may score 10 points from either Category 1 or Category 2 to achieve the minimum score required under Category 1 + 2

**Note:** Stages 1, 2, 3 and 4 correspond to scales with AGP of Rs. 6000, 7000, 8000 and 9000 respectively.

N	I ABLE 3 MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OF TEACHERS IN COLLEGES					
SL.No.	Promotion of Teachers through CAS	Service requirement	Minimum Academic Performance Requirements and Screening/Selection Criteria			
1	Assistant Professor/ equivalent cadres from Stage 1 to	Assistant Professor in Stage 1 and completed <u>four</u> years of service with Ph.D. or <u>five</u> years of service who are with	<ul> <li>Minimum API scores using PBAS scoring proforma developed by the concerned university as per the norms Provided.</li> </ul>			
	Stage 2	M.Phil/PG Degree in Professional Courses such LLM, M.Tech., M.V.Sc., MD etc. or <u>six</u> years of service without Ph.D/M.Phil / PG Degree in Professional Courses	<ul> <li>ii) One Orientation and one Refresher/Research Methodology Course of 2/3 weeks duration.</li> <li>iii) Screening cum Verification process for recommending promotion.</li> </ul>			
2	Assistant Professor/ equivalent cadres from Stage 2 to Stage 3	Assistant Professor with completed service of five years in stage 2	<ul> <li>(i) Minimum API scores using the PBAS scoring proforma developed by the concerned university as per the norms Provided.</li> <li>(ii) One course / programme from among the categories of refresher courses/ methodology workshop/Faculty Development Programme of 2/3 weeks duration.</li> <li>(iii) Screening cum Verification process for recommending promotion</li> </ul>			
3	Assistant Professor (Stage 3) to Associate Professor (Stage 4)	Assistant Professors with three years of completed service in Stage 3.	<ul> <li>promotion.</li> <li>i) Minimum API scores using the PBAS scoring proforma developed by the concerned university as per the norms provided.</li> <li>ii) At least three publications in the entire period as Assistant Professor (twelve years).</li> <li>iii) One course / programme from among the categories of methodology workshops, Training, Teaching-Learning-Evaluation Technology</li> <li>iv) A selection committee process as stipulated in this regulation.</li> </ul>			

TABLE 3